

JC662 U.S. PTO  
10/29/99

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application Transmittal

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the **Patent Application** of:

Inventor: N. Asamoto, et al

For: Data Receiving Apparatus and Method

Enclosed are:

- ☒ Eight (8) sheets of drawings.
- ☒ An assignment of the invention to International Business Machines Corporation, Armonk, New York 10504.
- ☒ A certified copy of a Japanese application number 10-307946 filed 10/29/98.
- ☒ An associate power of attorney.
- ☒ Declaration and Power of Attorney for Patent Application

The filing fee has been calculated as shown below:

(Col. 1)

(Col. 2)

Other Than Small Entity

For:	No. Filed	No. Extra
Basic Fee		
Total Claims	7 -20 =	0
Indep. Claims	3 -3 =	0
<input type="checkbox"/> Multiple Dependent Claim Presented		

Rate	Fee
	\$760.00
x \$18.00=	\$ .00
x \$78.00=	\$ .00
\$260.00	\$ .00
Subtotal	\$ .00
\$130.00	\$760.00
TOTAL	\$760.00

Surcharge-Late Filing Fee or Oath or Declaration

Deposit Account Authorization

- ☒ Please charge Deposit Account No. 09-0461 in the amount of \$760.00. A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0461. A duplicate copy of this sheet is enclosed.
  - ☒ Any additional filing fees required under 37 C.F.R. §1.16.
  - ☒ Any patent application processing fees under 37 C.F.R. §1.17.

Date: October 29, 1999

Respectfully submitted,

By Jerry W. Herndon  
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EXPRESS MAIL CERTIFICATE

Express Mail Label Number: EK008147130US  
Date: October 29, 1999

I hereby certify that I am depositing the enclosed or attached paper with the U.S. Postal Service "Express Mail Post Office to Addressee" service on the above date, addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231.

Catherine M. Robbins  
Catherine M. Robbins

A

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10/29/99

Docket No. JA9-98-146

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: N. Asamoto, et al

Serial No.:                      Group No.:

Filed:                      10/29/99

For: DATA RECEIVING APPARATUS AND METHOD

Assistant Commissioner of Patents  
Washington, D.C. 20231

**EXPRESS MAIL CERTIFICATE**

"Express Mail" label number: EK008147130US

Date of Deposit: October 29, 1999

**WE REQUEST THE DATE OF DEPOSIT AS THE DATE FILED.**

I hereby certify that the following **attached** correspondence comprising:

1. Transmittal for Patent Application (in duplicate)
2. Declaration and Power of Attorney
3. Recordation and Assignment
4. Patent Application (51 pages)
5. Formal Drawings (Eight sheets)
6. Information Disclosure Statement and Form PTO-1449 & 6 references.
7. Certified Copy of Japanese Application No. 10-307946 filed 10/29/98.
8. Business Reply Post Card

is being deposited with the United States Postal Service Express Mail Post Office to Addressee service under CFR 1.10 on the date indicated above and is addressed to:

**Assistant Commissioner of Patents  
Washington, D.C. 20231**

Catherine M. Robbins  
(Name of person mailing paper or fee)

Catherine M. Robbins  
(Signature of person mailing paper or fee)